

ESTATE PLANNING QUESTIONNAIRE

We use this questionnaire to get a general understanding of your situation so that we can better advise you on your estate planning needs. Please be as complete as possible when answering this questionnaire. If any of the requested information does not apply or is not readily available, leave those sections blank. Feel free to attach any additional information you would like to provide us.

Full Legal Name _____
 Birth date _____ Social Security Number _____
 Home address _____
 Home telephone _____ Business telephone _____
 Employer Name _____
 Business address _____
 Are you a U.S. Citizen? Yes No If not, country of citizenship _____
 Married: Date of Marriage _____ Divorced Widowed Single

DOCUMENTS CURRENTLY EXECUTED OR FILED

(Please provide us with a copy)

Existing wills.	<input type="checkbox"/>
Revocable trusts / Living trusts.	<input type="checkbox"/>
Health care power of attorney.	<input type="checkbox"/>
Living wills and other medical directives.	<input type="checkbox"/>
Property power of attorney.	<input type="checkbox"/>
Gift tax returns filed by either spouse.	<input type="checkbox"/>
Buy / sell or stock redemption agreements.	<input type="checkbox"/>
Other trust instruments.	<input type="checkbox"/>
Name of each trust: _____	

CHILDREN OR OTHER DEPENDENTS

(Use full legal name. Use "JT" if both spouses are the parents, "H" if husband is the parent, "W" if wife is the parent, and "A" if the child has been legally adopted - indicating adopted by whom).

Name	Parent(s)	Birth date/ Address	Relationship (if other dependent)

GRANDCHILDREN

(Use full legal name and indicate what child of yours is the parent)

Name	Parent(s)	Birth date
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

ADVISORS

Name	Telephone
Attorney _____	_____
Accountant _____	_____
Financial Advisor _____	_____
Primary personal bank _____	_____
Life Insurance Agent _____	_____
Stock Broker _____	_____

EXECUTORS AND TRUSTEES

(Please name Executors for your will, and Trustees for your trust, as well as contingent choices)

	Your Executor	Your Trustee
Primary Choice	_____	_____
Contingent Choice	_____	_____

CASH ACCOUNTS

Types of Accounts: Checking Account (“CA”), Savings Account (“SA”), Certificate of Deposit (“CD”), Money Market (“MM”) (*indicate type below*).

When indicating ownership, JTT = Joint With Third Party (Named), TC = Tenants In Common, TCT = Tenants In Common With Third Party (Named), CP = Community Property

Name of Institution and Account Number	Type of Account	Form of Ownership and With Whom	Value
<i>Total</i>			

Note: If Account is in your name for the benefit of a minor, please specify and give minor’s name.

INVESTMENT ACCOUNTS

TYPE: Money market “MM”, investment “I”, cash management “CM”, or other account that is in a street name (*indicate type below*). Include securities held by stock brokerage or other institutions. Include securities held by stock brokerage or other institutions.

Name of Brokerage Firm	Type	Acct. Number	Owner	Amount
<i>Total</i>				

STOCKS/BONDS - DIRECTLY OWNED

TYPE OF STOCK: Publicly owned corporations which is stock traded on an exchange or over the counter. (Stock owned in family or non-publicly traded companies should be listed under "Corporate Business and Professional Interest." Stocks held in a street name or investment account should be listed under "Investment Accounts").

TYPE OF BOND: U.S. Savings Bonds, corporate, municipal, etc., (*indicate type below*)

Company	Owner	Number of Shares	Tax (Cost) Basis	Fair Market Value

			<i>Total</i>	_____

MORTGAGES, NOTES AND OTHER RECEIVABLES

TYPE: Mortgages or promissory notes *payable to you*; other moneys *owed to you*.

Name of Debtor	Date of Note	Date Note Due	Current Balance	

			<i>Total</i>	_____

BUSINESS AND PROFESSIONAL INTERESTS

(Corporate, Partnership, Limited Liability Company or Sole Proprietorship)

TYPE: Privately owned (non-publicly traded) stock or membership in partnerships, limited liability companies or sole proprietorships. *(Please put √ if a Buy/Sell Agreement exists and, if stock is owned either JT or TC with someone other than spouse, please furnish name and relationship).*

Company or Entity	Type of Entity	Buy/Sell Agreement	Percentage Ownership	Owner	Value
<i>Total</i>					_____

PERSONAL EFFECTS

TYPE: Major personal effects such as motor vehicles, boats, jewelry, club memberships, collections, antiques, furs, household furnishings, and all other valuable nonbusiness personal property *(indicate type below and give a lump sum value for miscellaneous, less valuable items).*

Type	Owner	Value
<i>Total</i>		_____

RETIREMENT PLANS

TYPE: Pension (P), Profit Sharing (PS), H.R. 10, IRA, SEP, 401(k) *(indicate type below).*

Type of Plan	Company	Beneficiary upon Your Death	Percent Vested	Value
<i>Total</i>				_____

REAL PROPERTY

Residence in AZ, CA, ID, LA, NM, NV, TX, WA, WI

TYPE: Land, buildings, homes. If you own land or buildings in partnership with someone else you should indicate this and provide a copy of the partnership agreement. If two or more names are on a deed or a contract that does not state the type of ownership, please use “?”.

General Description and/or Address	Owner	Fair Market Value	Mortgage
_____	_____		
_____	_____		
_____	_____		
<i>Total</i>			_____

LIFE INSURANCE, LONG TERM CARE INSURANCE AND ANNUITIES

	Policy #1	Policy #2	Policy #3	Policy #4
Company	_____	_____	_____	_____
Policy Number	_____	_____	_____	_____
Type (term, whole life, endowment or universal life)	_____	_____	_____	_____
Date policy entered into	_____	_____	_____	_____
Insured	_____	_____	_____	_____
Owner	_____	_____	_____	_____
Beneficiary	_____	_____	_____	_____
Contingent beneficiary	_____	_____	_____	_____
Face value	_____	_____	_____	_____
Current cash surrender value	_____	_____	_____	_____
Amount of loan	_____	_____	_____	_____
Annual premium	_____	_____	_____	_____

OTHER ASSETS

TYPE: Other property is any property that you have that does not fit into any category, including trusts, investment partnership and limited liability company interests, anticipated inheritances, gifts or lawsuits.

Description	Owner	Value

	<i>Total</i>	_____

SUMMARY OF VALUES

<u>ASSETS</u>	<u>AMOUNT*</u>		
	Husband	Wife	Single
Cash Accounts	_____	_____	_____
Investment Accounts	_____	_____	_____
Stocks/Bonds	_____	_____	_____
Mortgages, Notes, and other Receivables	_____	_____	_____
Business and Professional Interests	_____	_____	_____
Personal Effects	_____	_____	_____
Retirement Plans	_____	_____	_____
Real Property	_____	_____	_____
Life Insurance	_____	_____	_____
Other Assets	_____	_____	_____
Total Assets:	_____	_____	_____

LIABILITIES

Loans/Accounts payable	_____	_____	_____
Contingent liabilities	_____	_____	_____
Unpaid taxes	_____	_____	_____
Other obligations:	_____	_____	_____
Total Liabilities:	_____	_____	_____
NET ESTATE	\$ _____	\$ _____	\$ _____

- *Joint Tenancy (JT), Tenancy in Common (TC) and Community Property (CP) values go 1/2 in husband's column, 1/2 in wife's column, assuming there is no third party ownership interest. If the other owner is not your spouse, put your interest in jointly held property in the single column.*

Additional Information

1. Do you have a will? If so, please attach a copy of each will.
2. Have you ever lived in a community property state? (Arizona, California, Idaho, Louisiana, Nevada, New Mexico, Texas, Washington or Wisconsin). If so, please name.
3. Have you been married previously? Please provide a copy of any divorce decree or prenuptial agreement.
4. Have you made gifts of \$10,000 or more to any person (other than your spouse) in any one year? If you have filed a gift tax return, please provide a copy of the most recent return.
5. If you own joint tenancy property with someone, whose funds were used to purchase the property?

6. Estimated inheritance, if any. You: \$ _____
7. Are you or any member of your immediate family a beneficiary or trustee of any trust? _____

8. Do you anticipate any business or professional changes in the next 12 months? _____

9. Special family needs (support of a parent or child, special education, physical or mental handicap): _____

10. Do you own any property for your children, such as under a Uniform Transfer to Minor's Act? _____

11. Briefly describe your estate planning goals: _____

12. Potential Lawsuits (As Plaintiff or Defendant)_____
13. Health Status of Client, Spouse, Parents (good/fair/poor)_____
14. Mental Health Status (capacity issues)_____
15. Do you wish to provide for any charities upon your death? _____

CERTIFICATION

The undersigned hereby represents to the Strohschein Law Group, LLC, and each of its attorneys, that the information contained in this intake form is accurate and complete, and that the undersigned understands that the law firm and its individual lawyers will rely on this information. I understand that if the information contained herein is inaccurate or incomplete, the recommendations made by the law firm may not be appropriate.

Signature of Client or Client Representative: _____

Strohschein Law Group, LLC ~ 455 Dunham Rd., Suite 200 ~ St. Charles, IL 60174
Telephone (630) 377-3241 ~ Facsimile: (630) 377-3244
Web: www.StrohscheinLawGroup.com